



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
-----------------	-------------	----------------------	---------------------

09/157,318 09/21/98 KANEMITSU

T

WC

FELIX J D'AMBROSIO  
JONES TULLAR & COOPER  
P O BOX 2266  
EADS STATION  
ARLINGTON VA 22202

QM32/0829

EXAMINER

COMPTON, E

ART UNIT

PAPER NUMBER

3726

10

DATE MAILED: 08/29/00

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Office Action Summary

Application No.  
**09/157,318**

Applicant(s)

**Kanemitsu et al.**

Examiner

**Eric Compton**

Group Art Unit  
**3726**



☒ Responsive to communication(s) filed on Jun 9, 2000

☐ This action is **FINAL**.

☐ Since this application is in condition for allowance except for formal matters, **prosecution as to the merits is closed** in accordance with the practice under *Ex parte Quayle*, 35 C.D. 11; 453 O.G. 213.

A shortened statutory period for response to this action is set to expire 3 month(s), or thirty days, whichever is longer, from the mailing date of this communication. Failure to respond within the period for response will cause the application to become abandoned. (35 U.S.C. § 133). Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

## Disposition of Claim

☒ Claim(s) 1-8 is/are pending in the applicat

Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration

☐ Claim(s) \_\_\_\_\_ is/are allowed.

☒ Claim(s) 1-8 is/are rejected.

☐ Claim(s) \_\_\_\_\_ is/are objected to.

☐ Claims \_\_\_\_\_ are subject to restriction or election requirement.

## Application Papers

☐ See the attached Notice of Draftsperson's Patent Drawing Review, PTO-948.

☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.

☐ The proposed drawing correction, filed on \_\_\_\_\_ is ☐ approved ☐ disapproved.

☐ The specification is objected to by the Examiner.

☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).

☐ All ☐ Some\* ☒ None of the CERTIFIED copies of the priority documents have been

☐ received.

☐ received in Application No. (Series Code/Serial Number) \_\_\_\_\_

☐ received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

\*Certified copies not received: \_\_\_\_\_

☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

☐ Notice of References Cited, PTO-892

☐ Information Disclosure Statement(s), PTO-1449, Paper No(s). \_\_\_\_\_

☐ Interview Summary, PTO-413

☐ Notice of Draftsperson's Patent Drawing Review, PTO-948

☐ Notice of Informal Patent Application, PTO-152

— SEE OFFICE ACTION ON THE FOLLOWING PAGES —

Art Unit: 3726

## **DETAILED ACTION**

### ***Continued Prosecution Application***

1. The request filed on June 9, 2000, for a Continued Prosecution Application (CPA) under 37 CFR 1.53(d) based on parent Application No. 09/157,318 is acceptable and a CPA has been established. An action on the CPA follows.

### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 1-8 rejected under 35 U.S.C. 103(a) as being unpatentable over US Patent 5,440,796 to Deggau et al in view of US Patent 5,396,787 to Kanemitsu et al.

Deggau et al teach forming an annular member from a metal sheet by rotating a disk of metal sheet clamped between the dies (2, 2') that is not subjected to the metal working processing that the outer periphery is subjected to, pressing outer periphery of the material in a radially inward direction while rotating the metal sheet, thickening the outer periphery by pressing,

Art Unit: 3726

protruding the outer periphery to either side of the clamped portion, and forming a peripheral wall (44) to either side of the clamped portion.

However, Deggau et al, do not teach forming the annular (disc-shaped) member to have a non-processed portion prior to pressing the outer periphery, nor pressing the outer periphery without buckling.

Kanemitsu et al disclose a method of forming an annular member. "According to the method ... , the final thickened portion is formed after the steel plate in the vicinity of the peripheral portion thereof has been improved in strength. ***This prevents the steel plate from buckling by a pressure applied thereto at the time of forming the final thickened portion***" (col 2, lines 10-15). The annular member may be forged (ie. stamped) to have a non-processed portion prior to the pressing step as shown in Figure 4.

Regarding claim 1, it would have been obvious to one of ordinary skill in the art, at the time of invention, to have formed the annular member having a non-processed portion prior to pressing the outer periphery and to have used a non-buckling roller in the method of Deggau et al, in light of the teachings of Kanemitsu et al, so that "even a thin steel plate can be provided at the peripheral portion thereof with a thickened portion ***without the steel plate being buckled*** (col 6, lines 3-5)."

Regarding claim 2, Figure 2b of Deggau et al shows a thickening operation such that a preliminary peripheral wall is formed having a center portion that is more outwardly swelled in the center than at the ends. The shape of the outer periphery can be considered arc-shaped.

Art Unit: 3726

Regarding claim 3, Figure 2b of Deggau et al shows the thickening operation which the outer periphery has bead (12) that can be considered substantially circular. Note, it is inherent that roller (21) is engaged gradually, therefore the bead begins taking on a substantially circular shape in advanced to the forming of a preliminary peripheral wall.

Regarding claim 4, Figure 2b of Deggau et al shows the metal sheet (1) held between a pair of dies (2, 2') of a rotational drive tool and pressing a forming roller (21) against the outer periphery of the metal sheet. The forming roller and the metal sheet are thereby rotated together.

Regarding claim 5, Figure 2b of Deggau et al shows a thickening operation such that a preliminary peripheral wall is formed having a center portion that is more outwardly swelled in the center than at the ends. The shape of the outer periphery can be considered arc-shaped.

Regarding claim 6, Figure 10 of Deggau et al, shows a finishing step that results in a protruding peripheral wall on either side of the clamped portion in a predetermined shape.

Regarding claims 7 and 8, Kanemitsu et al teach first forming the non-processed section into a stepped portion: "First there is prepared a steel plate 1 the peripheral portion of which has a flat section as shown in **FIG. 1A**. Generally, the steel plate 1 is a disc-like plate as shown in **FIG. 3** and has a thickness of 2.0 mm for example. Alternatively, the steel plate 1 may be a flanged cup-shaped member as shown in **FIG. 4** (col 2, lines 61-67)."

Art Unit: 3726

***Response to Arguments***

4. Applicant's arguments filed June 9, 2000, have been fully considered but they are not persuasive for the reasons cited above.

Applicant argues that Deggau et al do not disclose forming the annular member to have a non-processed portion prior to the pressing. While, Deggau et al do not specifically disclose forming a annular member to have a non-processed portion, Kanemitsu et al disclose that the annular member may be forged (ie. stamped) to the pressing step as shown in Figure 4.

Furthermore, Applicant argues that Deggau et al disclose that the roller (21) is a "buckling roller," while applicant is disclosing a method that overcomes the buckling problems associated with the prior art methods. It is inherent that all cold-working processes involve localized plastic deformation of the base metal. The press rollers of both Deggau et al, and Applicant are deforming the metal in the vicinity of the outer periphery. It appears that this is what Deggau et al refer to as "buckling." However, Kanemitsu et al disclose "According to the method mentioned above, the final thickened portion is formed after the steel plate in the vicinity of the peripheral portion thereof has been improved in strength. ***This prevents the steel plate from buckling by a pressure applied thereto at the time of forming the final thickened portion***" (col 2, lines 10-15). Therefore, it is quite apparent that Kanemitsu et al have also recognized the buckling problems associated with the prior art and have disclosed a method to overcome such problems as the thickening process elevates the buckling problems.

Art Unit: 3726

*Contact Information*

5. Official documents related to the instant application may be submitted to the Technology Center 3700 mail center by facsimile at (703) 305-3579/3580. Should Applicant desire to submit a DRAFT response to the Examiner by facsimile transmission, then Applicant should contact the Examiner at the number below for instructions concerning the transmission of DRAFT documents. Applicant is reminded to clearly mark any facsimile transmission as "DRAFT" if it is not to be considered as an official response.

6. Any inquiry concerning this communication should be directed to Examiner Eric Compton at telephone number (703) 305-0240.

ebc

August 28, 2000

J. C. A. Parker  
Der 3726